



PATENT
Attorney Docket No. ASC-023C2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Fitzgerald
SERIAL NO.: 10/826,156 GROUP NO.: 2811
FILING DATE: April 16, 2004 EXAMINER: Not yet assigned
TITLE: CONTROLLING THREADING DISLOCATION DENSITIES IN
GE ON SI USING GRADED GESI LAYERS AND
PLANARIZATION

Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**SECOND PRELIMINARY AMENDMENT AND
RESPONSE TO NOTICE TO FILE MISSING PARTS**

Prior to examining the patent application identified above, please amend this application as indicated below.

Amendments to the Claims begin on page 2 of this paper, and

Applicant's Remarks begin on page 3 of this paper.